

Cofc



Attorney Docket No.: 10-9602D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Iwata)	
)	
Serial No.: 10/824,260)	Patent No.: 7,111,150
)	Issued: Sept. 19, 2006
Filed: April 13, 2004)	
)	Examiner: Coleman, Eric
For: DATA PROCESSOR)	
)	Group Art Unit: 2183

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CERTIFICATE OF CORRECTION PURSUANT TO 37 C.F.R. 1.322

Sir:

Transmitted herewith is a Certificate of Correction for U.S. Patent 7,111,150 issued September 19, 2006. Upon reviewing the patent, the following errors were noted, which are requested to be corrected.

On the front page of US Patent No. 7,111,150 insert the following:

[30] Foreign Application Priority Data

Feb. 7, 1995 (JP) 7-042301
Dec. 27, 1995 (JP) 7-353256

This error occurred due to no fault of Applicant, as the reference to foreign application priority data was made in the application transmittal documents submitted at the time of filing. At the time of filing, the present application claimed priority back to US App. Ser. No. 08/594,022, which claimed foreign priority on the above-identified JP patent documents. Also at the time of filing, a copy of the declaration from US App. Ser. No. 08/594,022 was submitted, which included a reference to the above-identified JP patent documents. A copy of the originally-submitted application transmittal documents is included with this request.

Certificate
JUN 04 2007
of Correction

JUN - 4 2007

Accordingly, no fee is believed due for this correction. In the event that a fee is due, please charge the fee to Deposit Account No. 50-0251 for the Certificate of Correction. Please charge any additional fees due or credit any overpayment to the same account. Please contact the undersigned if there are any questions regarding this submission.

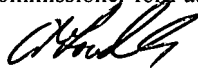
Respectfully submitted,

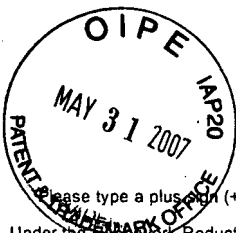


Alan R. Loudermilk
Registration No. 32,788
Attorney for Applicant(s)

May 29, 2007
P.O. Box 3607
Los Altos, CA 94024-0607
408-868-1516

I hereby certify that the foregoing is being deposited with the U.S. Postal Service, postage prepaid, to Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated above.





Please type a plus sign (+) inside this box → ☒

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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 10-9602D
First Inventor IWATA, K.
Title DATA PROCESSOR
Express Mail Label No. ET521623415US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 79]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 15]
5. Oath or Declaration [Total Pages 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment (forthcoming)
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09 / 655,465

Prior application information:

Examiner Eric Coleman

Group Art Unit: 2183

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

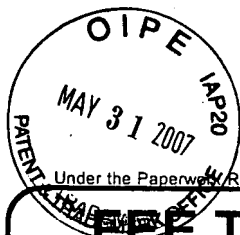
19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	Alan R. Loudermilk				
	Loudermilk & Associates				
Address	P.O. Box 3607				
City	Los Altos	State	CA	Zip Code	94024-0607
Country	USA	Telephone	408-868-1516	Fax	868-1517

Name (Print/Type)	Alan R. Loudermilk	Registration No. (Attorney/Agent)	32,788
Signature	<i>Alan R. Loudermilk</i>	Date	April 13, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**770.00**

Complete if Known

Application Number 10/
Filing Date April 13, 2004
First Named Inventor Katsumi Iwata
Examiner Name E. Coleman
Group Art Unit 2183
Attorney Docket No. 10-9602D

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 50-0251
Deposit Account Name Loudermilk & Associates

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 740	201 370	Utility filing fee	\$770
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)**770.00**

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Extra Claims Fee from below Fee Paid
Total Claims Independent Claims 1 -20** = 0 X =
Multiple Dependent 1 -3** = 0 X =

*Please delete claims 2-5 before calculating fees

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

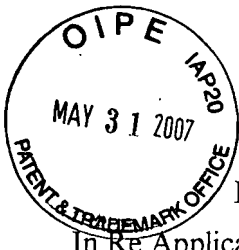
SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) Alan R. Loudermilk Registration No. 32,788 Telephone 408-868-1516
Signature [Signature] (Attorney/Agent) Date April 13, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Attorney Docket No.: 10-9602D

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:)

Iwata)

Serial No.: 10/)

Filed: April 13, 2004)

For: DATA PROCESSOR)

Examiner: Eric Coleman

Group Art Unit: 2183

Mail Stop Patent Application
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

Applicants present the following preliminary amendment. A substitute preliminary amendment if forthcoming, which will add new claims in this application.

IN THE SPECIFICATION

On Page 1 after the title, please insert the following new paragraph:

--This is a continuation application of U.S. Serial No. 09/655,465, filed September 5, 2000, which is a continuation application of U.S. Serial No. 09/263,917, filed March 5, 1999, now U.S. Patent No. 6,141,700, which is a continuation application of U.S. Serial 08/594,022, filed January 29, 1996, now U.S. Patent No. 5,881,295.--

IN THE CLAIMS

Please delete claims 2-5 without prejudice.

REMARKS

The specification has been amended to show the parent case information.

JUN - 4 2007

Claims 1-5 were originally in the application, and claims 2-5 have been deleted. New claims in this application are forthcoming in a substitute preliminary amendment. No new matter has been added.

Respectfully submitted,

Alan R. Loudermilk

Alan R. Loudermilk
Registration No. 32,788
Attorney for Applicant(s)

April 13, 2004
P. O. Box 3607
Los Altos, CA 94024-0607
(408) 868-1516

CERTIFICATE OF MAILING

I hereby certify that the foregoing is being sent Express Mail, Label No. ET521623415US, in an envelope addressed to Mail Stop Patent Application, Commissioner of Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on: April 13, 2004

Karen A. Rogers
(name)

Karen A. Rogers
(signature)

JUN 14 2004

Attorneys Docket No. 10-9602

~~DECLARATION~~ DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

INVENTOR AND SPECIFICATION IDENTIFICATION

My residence, post office address and citizenship are as stated below next to my name, I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DATA PROCESSOR

TITLE OF INVENTION

the specification of which:

_____ is attached hereto.

X was filed on Jan. 29, 1996 as Application Serial No. 08/594,022
and was amended on _____ (if applicable).

_____ was described and claimed in PCT International Application No. _____ filed
on _____ and amended under PCT Article 19 on _____ (if
any).

REVIEW OF PAPERS AND ACKNOWLEDGEMENT OF DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I do not know and do not believe that the invention claimed in the above-identified specification was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, and that the same was not in public use or on sale in the United States of America more than one year prior to this application.

I acknowledge the duty to disclose to the Patent and Trademark Office information which I know is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

FOREIGN APPLICATIONS AND PRIORITY CLAIM

The invention claimed in the above-described specification has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application. I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international

application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 USC 119
Japan	7-42301	7/Feb./1995	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Japan	7-353256	27/Dec./1995	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No
			<input type="checkbox"/> Yes <input type="checkbox"/> No

DOMESTIC PRIORITY CLAIM

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States patent application(s) listed below and, insofar as this application discloses or claims subject matter in addition to that disclosed in the below listed priority applications, I acknowledge the duty to disclose to the Patent and Trademark Office all information known by me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date(s) of the below-listed prior application(s) and the national or PCT international filing date of this application.

(APPLICATION SERIAL NO.)

(FILING DATE)

(STATUS: PATENTED, PENDING, ABANDONED)

(APPLICATION SERIAL NO.)

(FILING DATE)

(STATUS: PATENTED, PENDING, ABANDONED)

POWER OF ATTORNEY

I hereby appoint Alan R. Loudermilk (Reg. No. 32,788), who is registered to practice before the Patent and Trademark Office, as my attorney with full power of substitution and revocation, to prosecute this application, to make alterations or amendments therein, to receive the patent and transact all business in the Patent and Trademark Office connected therewith.

All CORRESPONDENCE should be addressed to:

Alan R. Loudermilk
1550 N. Lake Shore Drive Suite 16C
Chicago, IL 60610

All TELEPHONE INQUIRIES may be directed to Alan R. Loudermilk at (312) 280-5486.

77 FEB 2007

I hereby declare I have read this Declaration, and that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

HAND PRINT DATE BEFORE SIGNING

Full name of sole or
first joint inventor ^ Katsumi IWATA Citizenship ^ Japan
Inventor's signature *Katsumi Iwata* Date Feb. 14, 1996
Residence ^ Same as Post Office Address
Post Office Address ^ 3-35-1-308, Izumi-cho, Kokubunzi-shi, Tokyo, Japan

Full name of second
joint inventor ^ _____ Citizenship ^ _____
Inventor's signature _____ Date _____
Residence ^ _____
Post Office Address ^ _____

Full name of third
joint inventor ^ _____ Citizenship ^ _____
Inventor's signature _____ Date _____
Residence ^ _____
Post Office Address ^ _____

Full name of fourth
joint inventor ^ _____ Citizenship ^ _____
Inventor's signature _____ Date _____
Residence ^ _____
Post Office Address ^ _____

Full name of fifth
joint inventor ^ _____ Citizenship ^ _____
Inventor's signature _____ Date _____
Residence ^ _____
Post Office Address ^ _____

_____ If this line is checked, the signature page is continued on the attached Addendum.

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO : 7,111,150
DATED : September 19, 2006
INVENTOR(S) : Katsumi Iwata

It is certified that errors appear in the above-identified patent and that said Letters Patent are hereby corrected as shown below:

On the front page of US Patent No. 7,111,150 insert the following:

[30] Foreign Application Priority Data

Feb. 7, 1995 (JP) 7-042301

Dec. 27, 1995 (JP) 7-353256

MAILING ADDRESS OF SENDER:
Loudermilk & Associates
P. O. Box 3607
Los Altos, CA 94024-0607
(408) 868-1516

PATENT NO. 7,111,150

JUN - 4 2007